



CFE BLOCK TRADE REPORTING FORM **

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BUYER	
Firm ID/Acronym:	_____
OCC Acronym:	_____
For MMs only	Clearing #: _____ <small>CFE:### (e.g., CFE:299)</small>
Customer Account:	_____
Give Up #:	_____
Origin:	_____
(e.g., C,F,E)	Open/Close: _____

SELLER	Trade ID	_____
	CFE Use	_____
Firm/Acronym:	_____	
OCC Acronym:	_____	
For MMs only	Clearing #: _____	<small>CFE:### (e.g., CFE:299)</small>
Customer Account:	_____	
Give Up #:	_____	
Origin:	_____	
(e.g., C,F,E)	Open/Close: _____	

Date: _____ Execution Time: _____ Reported Time: _____ Total # of Contracts: _____

Is Block Trade strip? YES NO Total # of legs: _____ Party submitting this Form: BUYER SELLER

CFE Use Only

Block Trade ID

REQUIRED BLOCK TRADE INFORMATION

If block trade is for single leg, fill in first row below. If block trade is strip, list all legs of strip in rows below. A separate reporting form should be used for each block trade or block trade as strip.

Class	Expiry Month	Strike Price * If applicable	Contract Type *If applicable Put/Call	Year	Quantity	Price Per Leg	Top of Book Bid Price at Time of Trade	Top of Book Bid Size at Time of Trade	Top of Book Offer Price at Time of Trade	Top of Book Offer Size at Time of Trade

CTI/ ORIGIN COMBINATIONS			
CIT	ORIGIN	CBOE Direct CMI	OCC-Origin
1	1	V	C
1	2	E	F
1	6	M	M
2	2	F	F
3	1	G	C
3	2	H	F
4	1	C	C
4	2	O	F

To report a Block Trade, this form must be submitted by a registered Block Trade Authorized Reporter after completing all sections.

Completed forms should be submitted to CFE's Help Desk by fax or email.

**** The posting of a Block Trade by the Help Desk does not constitute a determination by CFE that the Block Trade was effected in conformity with the requirements applicable to Block Trades. It is the obligation of the TPHs participating in the Block Trade to ensure compliance with CFE rules.**