



When completed, please sign and fax this form to (312) 786-7050

Transfer of Positions Fee Rebate Form

CFE will rebate the transferor and the transferee the assessed fee for a transfer of positions that occurred pursuant to CFE Rule 420(a)(ii).** In order to be eligible for the fee rebate, the applicable party must submit this Transfer of Positions Fee Rebate Form to CFE requesting the rebate within 30 days of the date that the transfer of position occurred. The transfer of positions fee will not be rebated for any transfer of positions that occurred pursuant to CFE Rule 420(a)(i) or (iii) or Rule 420(b)(i) or (ii).

Submission Date of Transfer of Positions Fee Rebate Form: _____

Market Participant Name: _____

Clearing Firm Name & Number: _____

Identify Counterparty: _____

Please check one: Transferor Transferee **Amount of Fee Rebate Being Sought:** \$ _____

Trading Symbol	No. of Contracts Transferred	Date of Transfer	Price

** In submitting this Transfer of Positions Fee Rebate Form, we represent that the transfer of positions described above occurred pursuant to CFE Rule 420(a)(ii), which provides that existing trades may be transferred either on the books of a Clearing Member or from one Clearing Member to another Clearing Member to transfer an existing Contract from one account to another **where no change in ownership is involved**. We agree to provide any supplemental documentation requested by CFE to substantiate that the transfer of positions satisfies the provisions of CFE Rule 420(a)(ii).

Accepted and Agreed to by: _____
Principal of Market Participant (Signature)

(Printed name)